



COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Listed Entity: Edelweiss Financial Services Limited
 Quarter Ended: June 30, 2019

Sr	Name of the Director	PAN	DIN	Category of directors	Date of Birth	Initial Date of appointment	Date of re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(3) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
1	Mr. Ramesh Shah			Chairman- Executive	30-09-1963	21-11-1995	01-04-2017	-	-	1	0	0	0
2	Mr. Venkatchalam Ramakavarni			Executive	17-08-1966	20-02-1996	01-04-2017	-	-	1	0	0	0
3	Mr. Himanshu Kaji			Executive	24-08-1965	01-11-2011	01-11-2014	-	-	1	0	1	0
4	Mr. Rujin Panjwani			Executive	07-04-1963	24-05-2013	24-05-2016	-	-	1	0	1	0
5	Ms. Vidya Shah			Non-Executive - Non Independent Director	18-06-1966	01-08-2014	-	-	-	1	0	2	0
6	Mr. Kunnaagarann Chinniah			Independent Director	10-05-1957	01-10-2013	02-08-2017	-	-	60	1	5	1
7	Mr. P. N. Venkatchalam			Independent Director	22-03-1944	09-08-2007	02-08-2017	-	-	60	2	6	3
8	Mr. Berjis Desai			Non-Executive - Independent Director	07-08-1956	18-11-2009	02-08-2017	-	-	60	6	9	4
9	Mr. Navtej S. Nandra			Non-Executive - Independent Director	14-10-1966	22-05-2009	02-08-2017	-	-	60	1	2	0
10	Mr. Biswamohan Mahapatra			Non-Executive - Independent Director	03-08-1954	26-03-2015	26-07-2018	-	-	60	2	5	1
11	Mr. Ashok Kini			Non-Executive - Independent Director	11-11-1945	01-04-2019	-	-	-	36	3	1	0
12	Ms. Anita M. George			Non-Executive - Independent Director	16-06-1960	01-04-2019	-	-	-	1	0	1	0
13	Dr. Ashima Goyal			Independent Director	13-08-1955	01-04-2019	-	-	-	36	2	2	1

Whether Regular chairperson appointed: YES
 Whether Chairperson is related to managing director or CEO: YES

SPAN of any director would not be displayed on the website of Stock Exchange
 & Category of directors means executive/non-executive/independent/Nominee, if a director fits into more than one category write all categories separating them with hyphen
 * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)*	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. P. N. Venkatachalam	Independent (Chairman)	11-10-2007	-
		Mr. Berjis Desai	Independent	15-07-2010	-
		Mr. Biswamohan Mahapatra	Independent	17-05-2017	-
		Mr. Kunnasagaran Chinniah	Independent	03-05-2018	-
2. Nomination & Remuneration Committee	Yes	Mr. Berjis Desai	Independent (Chairman)	21-05-2010	-
		Mr. Kunnasagaran Chinniah	Independent	30-10-2013	-
		Mr. Navtej S. Nandra	Independent	26-07-2013	-
		Mr. P. N. Venkatachalam	Independent (Chairman)	26-07-2013	-
3. Risk Management Committee	Yes	Mr. Biswamohan Mahapatra	Independent	17-05-2017	-
		Mr. Kunnasagaran Chinniah	Independent	30-10-2013	-
		Mr. Navtej S. Nandra	Independent	26-07-2013	-
		Mr. Himanshu Kaji	Executive	09-11-2016	-
4. Stakeholders Relationship Committee	Yes	Mr. Berjis Desai	Independent (Chairman)	22-01-2010	-
		Mr. Kunnasagaran Chinniah	Independent	30-10-2013	-
		Mr. Venkatachalam Ramaswamy	Executive	12-07-2007	-

*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
January 24, 2019	May 14, 2019	Yes	13	7	109 days



IV. Meeting of Committees						
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*	
May 14, 2019 (Audit Committee)	Yes	4	4	January 24, 2019 (Audit Committee)	109 days	
May 14, 2019 (Risk Management Committee)	Yes	5	4	January 23, 2019 (Risk Management Committee)	110 days	
May 14, 2019 (Nomination and Remuneration Committee)	Yes	3	3	January 24, 2019 (Nomination and Remuneration Committee)	109 days	

* This information has to be mandatorily be given for Audit committee, for rest of the committees giving this information is optional.

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.



VI. Affirmations		Yes
1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.		Yes
2. The composition of the following Committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.		Yes
a. Audit Committee		
b. Nomination & Remuneration Committee		
c. Stakeholders Relationship Committee		
d. Risk Management Committee.		
3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.		Yes
4. The meetings of the Board of Directors and the above Committees have been conducted in the manner as specified in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.		Yes
5. The report for the quarter ended March 31, 2019, was placed before the Board at its Meeting held on May 14, 2019. This report shall be placed before the Board at its forthcoming Meeting.		Yes

For Edelweiss Financial Services Limited



B. Rengasathan
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 Executive Vice President & Company Secretary

July 15, 2019