

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L99999MH1995PLC094641

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACE1461E

(ii) (a) Name of the company

EDELWEISS FINANCIAL SERVIC

(b) Registered office address

Edelweiss House, Off. C.S.T Road,
Kalina,
Mumbai
Maharashtra
400098

(c) *e-mail ID of the company

cs@edelweissfin.com

(d) *Telephone number with STD code

02240094400

(e) Website

www.edelweissfin.com

(iii) Date of Incorporation

21/11/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

03/09/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	4
2	K	Financial and insurance Service	K2	Activities by Trusts, funds and other financial holding companies	96

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 43

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ECAP EQUITIES LIMITED	U67190TG2008PLC057122	Subsidiary	100

2	EDELWEISS INVESTMENT ADVI	U74140TG2008PLC120334	Subsidiary	100
3	EC COMMODITY LIMITED	U51109TG2008PLC065146	Subsidiary	100
4	EDEL LAND LIMITED	U74900MH2008PLC287466	Subsidiary	100
5	EDEL FINANCE COMPANY LIM	U65920MH1989PLC053909	Subsidiary	100
6	EDELWEISS RURAL & CORPOR	U45201TG2006PLC078157	Subsidiary	100
7	EDELGIVE FOUNDATION	U65999MH2008NPL182809	Subsidiary	100
8	ECL FINANCE LIMITED	U65990MH2005PLC154854	Subsidiary	100
9	EDELWEISS HOUSING FINANCE	U65922MH2008PLC182906	Subsidiary	100
10	EDELWEISS RETAIL FINANCE LI	U67120MH1997PLC285490	Subsidiary	100
11	EDELWEISS ASSET RECONSTRU	U67100MH2007PLC174759	Subsidiary	100
12	EDELWEISS ALTERNATIVE ASSE	U67190MH2008PLC182205	Subsidiary	100
13	EDELWEISS ASSET MANAGEME	U65991MH2007PLC173409	Subsidiary	100
14	EDELWEISS TRUSTEESHIP COM	U67100MH2007PLC173779	Subsidiary	100
15	ALLIUM FINANCE PRIVATE LIM	U67120MH2008PTC180229	Subsidiary	100
16	EDEL INVESTMENTS LIMITED	U65923TG2009PLC112586	Subsidiary	100
17	EDELCAP SECURITIES LIMITED	U67120TG2008PLC057145	Subsidiary	100
18	EDELWEISS GLOBAL WEALTH M	U67100MH2007PLC353035	Subsidiary	100
19	EDELWEISS CAPITAL SERVICES	U67190MH2021PLC355152	Subsidiary	100
20	EDELWEISS COMTRADE LIMITE	U66990GJ1995PLC025267	Subsidiary	100
21	EDELWEISS SECURITIES AND IN	U65990MH2009PTC344641	Subsidiary	100
22	EDELWEISS TOKIO LIFE INSUR	U66010MH2009PLC197336	Subsidiary	51
23	EDELWEISS GENERAL INSURAN	U66000MH2016PLC273758	Subsidiary	100
24	EDELWEISS GALLAGHER INSUF	U72200MH2000PLC124096	Subsidiary	100
25	EC International Limited		Subsidiary	100
26	Edelweiss Capital (Singapore) I		Subsidiary	100

27	Edelweiss Alternative Asset Ad		Subsidiary	100
28	Edelweiss International (Singa		Subsidiary	100
29	Aster Commodities DMCC		Subsidiary	100
30	EAAA LLC		Subsidiary	100
31	EW Special Opportunities Adv		Subsidiary	67
32	EDELWEISS FINVEST LIMITED	U65993MH2006PLC164007	Subsidiary	100
33	EVEREST SECURITIES & FINANC	U67110MH2010PLC202149	Subsidiary	100
34	EDELWEISS SECURITIES LIMITE	U67110MH1993PLC344634	Associate	38.88
35	EDELWEISS FINANCE & INVEST	U67120MH1994PLC286057	Associate	38.88
36	EDELWEISS BROKING LIMITED	U65100GJ2008PLC077462	Associate	38.88
37	EDELWEISS CUSTODIAL SERVIC	U51109MH2008PLC187594	Associate	38.88
38	ESL SECURITIES LIMITED	U67190MH2019PLC343440	Associate	38.88
39	EDELWEISS SECURITIES(HONK		Associate	38.88
40	EDELWEISS INVESTMENT ADVI		Associate	38.88
41	EDELWEISS FINANCIAL SERVIC		Associate	38.88
42	EDELWEISS FINANCIAL SERVIC		Associate	38.88
43	EDELWEISS SECURITIES (IFSC) I	U65999GJ2016PLC094838	Associate	38.88

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,230,000,000	935,798,077	935,798,077	935,798,077
Total amount of equity shares (in Rupees)	1,230,000,000	935,798,077	935,798,077	935,798,077

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,230,000,000	935,798,077	935,798,077	935,798,077
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	1,230,000,000	935,798,077	935,798,077	935,798,077

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	4,000,000	0	0	0
Total amount of preference shares (in rupees)	20,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	4,000,000	0	0	0
Nominal value per share (in rupees)	5	5	5	5
Total amount of preference shares (in rupees)	20,000,000	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	659,110	933,749,892	934409002	934,409,002	934,409,002	
Increase during the year	0	1,389,075	1389075	1,389,075	1,389,075	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	1,389,075	1389075	1,389,075	1,389,075	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	78,000	0	78000	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div>dematerialisation</div>	78,000		78000			
At the end of the year	581,110	935,138,967	935720077	935,798,071	935,798,071	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☒ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor	<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,022,022	Annexure	7,352,200,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			7,352,200,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	17,352,200,000	10,000,000,000	7,352,200,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

17,218,719,378

(ii) Net worth of the Company

41,259,876,780

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	264,784,490	28.3	0	
	(ii) Non-resident Indian (NRI)	950,000	0.1	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,200,000	0.34	0	
10.	Others Trusts	38,750,000	4.14	0	
	Total	307,684,490	32.88	0	0

Total number of shareholders (promoters)

13

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	188,947,317	20.19	0	
	(ii) Non-resident Indian (NRI)	12,569,111	1.34	0	
	(iii) Foreign national (other than NRI)	1,019	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	24,282,094	2.59	0	
4.	Banks	0	0	0	
5.	Financial institutions	356,949	0.04	0	
6.	Foreign institutional investors	300,517,094	32.11	0	
7.	Mutual funds	17,581,419	1.88	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	36,440,101	3.89	0	
10.	Others Others	47,418,483	5.07	0	

	Total	628,113,587	67.11	0	0
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Total number of shareholders (other than promoters) 170,671

**Total number of shareholders (Promoters+Public/
Other than promoters)** 170,684

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	12	13
Members (other than promoters)	162,339	170,671
Debenture holders	0	9,840

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	21.77	3.32
B. Non-Promoter	2	8	2	7	1.69	0.9
(i) Non-Independent	2	1	2	0	1.69	0
(ii) Independent	0	7	0	7	0	0.9
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	4	9	4	8	23.46	4.22

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

14

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RASHESH CHANDRAK	00008322	Managing Director	145,601,730	
VENKATCHALAM ARA	00008509	Whole-time directo	58,126,560	
HIMANSHU NALIN KAJ	00009438	Whole-time directo	3,851,500	
RUJAN HARCHAND PA	00237366	Whole-time directo	11,951,380	
VIDYA RASHESH SHA	00274831	Director	31,031,200	
PUDUGRAMAM NARA'	00499442	Director	270,000	
BERJIS MINOO DESAI	00153675	Director	0	06/11/2021
NAVTEJ SINGH NANDI	02282617	Director	7,974,180	
KUNNASAGARAN CHII	01590108	Director	200,000	
BISWAMOHAN MAHAF	06990345	Director	0	
ASHOK KINI	00812946	Director	0	
ASHIMA GOYAL	00233635	Director	0	
SARJU PRAVIN SIMAR	AAWPS9499P	CFO	0	
RENGANATHAN BASH	AADPB8630N	Company Secretar	85,312	23/04/2021

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
ANITA MARANGOLY G	00441131	Director	13/07/2020	CESSATION
SUBRAMANIAN RANG	AADPR6519A	CFO	31/10/2020	CESSATION
SARJU PRAVIN SIMAR	AAWPS9499P	CFO	01/11/2020	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	28/09/2020	171,785	144	37.36

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/05/2020	13	13	100
2	04/07/2020	13	13	100
3	27/08/2020	12	12	100
4	30/10/2020	12	11	91.67
5	13/02/2021	12	11	91.67
6	25/03/2021	12	11	91.67

C. COMMITTEE MEETINGS

Number of meetings held

25

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	21/05/2020	4	4	100
2	Audit Committee	04/07/2020	4	4	100
3	Audit Committee	27/08/2020	4	4	100
4	Audit Committee	30/10/2020	4	4	100
5	Audit Committee	13/02/2021	4	3	75

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
6	Nomination &	26/06/2020	3	3	100
7	Nomination &	30/10/2020	3	3	100
8	Nomination &	25/03/2021	3	3	100
9	Corporate Soc	04/07/2020	4	4	100
10	Corporate Soc	29/10/2020	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	03/09/2021
								(Y/N/NA)
1	RASHESH CH	6	6	100	0	0	0	Yes
2	VENKATCHAI	6	6	100	13	13	100	Yes
3	HIMANSHU N	6	6	100	16	16	100	Yes
4	RUJAN HARC	6	6	100	12	12	100	Yes
5	VIDYA RASHI	6	6	100	0	0	0	Yes
6	PUDUGRAMA	6	6	100	11	11	100	Yes
7	BERJIS MINO	6	6	100	19	18	94.74	Yes
8	NAVTEJ SING	6	6	100	7	7	100	Yes
9	KUNNASAGA	6	5	83.33	13	10	76.92	Yes
10	BISWAMOHA	6	6	100	9	9	100	Yes
11	ASHOK KINI	6	5	83.33	0	0	0	Yes
12	ASHIMA GOY	6	5	83.33	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RASHESH CHAND	Chairman & Mar	11,479,537	0	0	0	11,479,537
2	VENKATCHALAM /	Vice Chairman &	9,463,259	0	0	0	9,463,259
3	HIMANSHU NALIN	Executive Direct	10,875,618	0	0	0	10,875,618
4	RUJAN HARCHANI	Executive Direct	2,247,600	0	0	0	2,247,600
	Total		34,066,014	0	0	0	0

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUBRAMANIAN RA	Chief Financial C	5,489,022	0	0	0	5,489,022
2	SARJU PRAVIN SI	Chief Financial C	4,325,285	0	0	0	4,325,285
3	RENGANATHAN B	Company Secre	9,026,930	0	0	0	9,026,930
	Total		18,841,237	0	0	0	0

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PUDUGRAMAM NA	Independent Dir	0	500,000	0	360,000	860,000
2	BERJIS MINOO DE	Independent Dir	0	500,000	0	500,000	1,000,000
3	NAVTEJ SINGH NA	Independent Dir	0	500,000	0	280,000	780,000
4	KUNNASAGARAN	Independent Dir	0	500,000	0	120,000	620,000
5	BISWAMOHAN MA	Independent Dir	0	500,000	0	340,000	840,000
6	ASHOK KINI	Independent Dir	0	500,000	0	120,000	620,000
7	ASHIMA GOYAL	Independent Dir	0	500,000	0	120,000	620,000
	Total		0	3,500,000	0	1,840,000	0

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☐ Nil

1

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
Mr. B Renganatha	SEBI	16/07/2020	SEBI (Prohibition of	Imposed penalty o	Securities Appellate Tribunal v

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Avinash Bagul

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

19862

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

RASHESH
CHANDRAK
ANT SHAH
Digitally signed by
RASHESH
CHANDRAKANT SHAH
Date: 2022.01.09
14:55:42 +05'30'

DIN of the director

00008322

To be digitally signed by

TARUN
KHURANA
Digitally signed by
TARUN KHURANA
Date: 2022.01.08
12:48:06 +05'30'

- ☒ Company Secretary
- ☐ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

MGT-8 FY2020-21.pdf
Additional Attachments.pdf

Remove attachment

Modify

Check Form

Prescrutiny

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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY
(iv) *Debentures (Outstanding as at the end of financial year)
Amount in Rs.

Particulars	Number of Units	Nominal Value per unit	Total Value
Non-convertible Debentures	20,00,000	1,000	2,00,00,00,000
	3,500	10,00,000	3,50,00,00,000
	18,522	1,00,000	1,85,22,00,000
Partly Convertible Debentures	-	-	-
Fully Convertible Debentures	-	-	-
Total	20,22,022	11,01,000	7,35,22,00,000

For Edelweiss Financial Services Limited


Tarun Khurana
Company Secretary
Membership No: A12344

Edelweiss Financial Services Limited



VI (c) *Details of Foreign institutional investors' (FIIs) holding shares of the company as on March 31, 2021

Sr. No	Name Of FII/FPI	FII/FPI	Folio No/DP Id	Address	No. of Share	% of shareholding
1	ARJUNA FUND PTE. LTD.	FPI	IN30371911077047	Tower 3, Wing B, Kohinoor City Mall, Kohinoor City, Kiroi Road, Kurla(W), MUMBAI MAHARASHTRA	7799311	0.8334
2	BVK PERSONALVORSORGE DES KANTONS ZURICH	FPI	IN30343810014327	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	62442	0.0067
3	DFA INTERNATIONAL VECTOR EQUITY FUND	FPI	IN30152430049709	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	25846	0.0028
4	DHANDHO JUNOON LP	FPI	IN30317320266259	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI	24181	0.0026
5	DHANDHO JUNOON OFFSHORE LTD	FPI	IN30317320266267	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI	1072799	0.1146
6	FIDELITY GROUP TRUST FOR EMPLOYEE BENEFIT PLANS FIDELITY GROWTH COMPANY COMMINGLED POOL	FPI	IN30005410085912	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3373292	0.3605
7	FIDELITY MT. VERNON STREET TRUST: FIDELITY GROWTH COMPANY K6 FUND	FPI	IN30016710159950	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	293900	0.0314
8	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	FPI	IN30343810006451	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	65039	0.0070
9	ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF	FPI	IN30005410106088	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	172517	0.0184
10	JYSKE INVEST INDISKE AKTIER KL	FPI	IN30016710065707	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	85310	0.0091
11	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	FPI	IN30005410085015	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	486597	0.0520
12	THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013250	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	37563	0.0040
13	ALASKA PERMANENT FUND	FPI	IN30016710021055	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	96475	0.0103
14	ALTIUS CAPITAL FUND	FPI	IN30362210009583	C/O AAA GLOBAL SERVICES LTD 1ST FLOOR, THE EXCHANGE 18 CYBERCITY, EBENE MAURITIUS	66900	0.0071
15	ASHOKA PTE LTD	FPI	IN30005410069796	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3405105	0.3639
16	BARON EMERGING MARKETS FUND LTD.	FPI	IN30016710139730	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	379945	0.0406
17	BIH SA	FPI	IN30371911037199	ZUERCHERSTRASSE 156 8645 RAPPERSWIL JON SWITZERLAND	34214568	3.6562
18	ISHARES EMSC MAURITIUS CO	FPI	IN30005410104429	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	156860	0.0168
19	ISHARES INDIA SC MAURITIUS COMPANY	FPI	IN30005410104453	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	853499	0.0912
20	ISHARES MSCI EM SMALL CAP UCITS ETF	FPI	IN30005410105132	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	149196	0.0159
21	LPP I GLOBAL EQUITIES FUND	FPI	IN30016710144811	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	2561767	0.2738
22	PUB EQUITIES EMERGING MARKETS 1	FPI	IN30343810015452	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	311645	0.0333
23	SPDR S AND P EMERGING MARKETS ETF	FPI	IN30016710031520	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	276056	0.0295

24	THE PABRAI INVESTMENT FUND II, LP	FPI	IN30317320009878	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	18600000	1.9876
25	TSING TECK LAWRENCE NGA	FPI	IN30362210034074	NO.50 JALAN HAJI MOHD ALI SITIYAWAN PERAK SITIYAWAN MALAYSIA 32000	5917	0.0006
26	AKENA	FPI	IN30371911041812	4 TH FLOOR 19 BANK STREET CYBERCITY EBENE MAURITIUS	630000	0.0673
27	CDPQ PRIVATE EQUITY ASIA II PTE. LTD.	FPI	IN30016710140631	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	14000000	1.4960
28	DESTINATIONS INTERNATIONAL EQUITY FUND, A SERIES OF BRINKER CAPITAL DESTINATIONS TRUST	FPI	IN30005410093494	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2029672	0.2169
29	DHANDHO INDIA ZERO FEE FUND, L.P	FPI	IN30317320171600	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI	4369525	0.4669
30	DIMENSIONAL EMERGING MARKETS VALUE FUND	FPI	IN30005410040054	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1371920	0.1466
31	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	FPI	IN30016710128022	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	53469	0.0057
32	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	FPI	IN30343810017116	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	351878	0.0376
33	EMERGING MARKETS TARGETED VALUE PORTFOLIO	FPI	IN30005410100216	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	46865	0.0050
34	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	FPI	IN30016710100587	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	154526	0.0165
35	ISHARES CORE EMERGING MARKETS MAURITIUS CO	FPI	IN30005410105077	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	4380321	0.4681
36	ISHARES CORE MSCI EM IMI UCITS ETF	FPI	IN30005410105204	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1083354	0.1158
37	JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	FPI	IN30005410078971	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	46814	0.0050
38	ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT	FPI	IN30343810014255	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	57941	0.0062
39	BARON INTERNATIONAL GROWTH FUND	FPI	IN30016710062186	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	2458770	0.2627
40	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	FPI	IN30005410032536	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	67422	0.0072
41	DFA INTERNATIONAL CORE EQUITY FUND	FPI	IN30152430049717	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	41061	0.0044
42	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	FPI	IN30005410112036	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1368	0.0001
43	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	FPI	IN30343810016687	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	805164	0.0860
44	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410017712	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	176449	0.0189
45	MASSACHUSETTS INSTITUTE OF TECHNOLOGY BASIC RETIREMENT PLAN	FPI	IN30016710032866	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	1147002	0.1226
46	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	FPI	IN30016710135343	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	15666	0.0017
47	SOCIETE GENERALE	FPI	IN30378610000836	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI	13527	0.0014
48	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	FPI	IN30016710121383	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	66020	0.0071
49	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	FPI	IN30016710128284	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	58647	0.0063

50	238 PLAN ASSOCIATES LLC	FPI	IN30016710155406	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	204284	0.0218
51	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	FPI	IN30016710160958	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	15782	0.0017
52	BNP PARIBAS ARBITRAGE	FPI	IN30179910081740	BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE, MAKER MAXITY BKC, BANDRA EAST, MUMBAI	540	0.0001
53	BURLINGTON LOAN MANAGEMENT DESIGNATED ACTIVITY COMPANY	FPI	IN30005410107697	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	8796042	0.9400
54	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	FPI	IN30016710049693	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	23902873	2.5543
55	DHANDHO HOLDINGS LP	FPI	IN30317320058325	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV, CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	2960000	0.3163
56	DHANDHO INDIA ZERO FEE FUND OFFSHORE LTD.	FPI	IN30317320171587	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI	2620000	0.2800
57	DOVETAIL INDIA FUND CLASS 8 SHARES	FPI	IN30330710001568	DBS BANK LIMITED, GROUND FLOOR EXPRESS TOWERS, BLOCK III BACKBAY RECLAMATION NARIMAN POINT, MUMBAI	60000	0.0064
58	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FPI	IN30016710156689	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	138802	0.0148
59	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	FPI	IN30016710100511	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	159022	0.0170
60	FIDELITY MT. VERNON STREET TRUST FIDELITY SERIES GROWTH COMPANY FUND	FPI	IN30005410101694	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	613687	0.0656
61	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND	FPI	IN30005410090210	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	151886	0.0162
62	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013268	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	1553368	0.1660
63	1729 CAPITAL	FPI	IN30371911048424	C/O GFIN CORPORATE SERVICES LTD 6TH FLOOR GFIN TOWER 42 HOTEL STREET CYBERCITY EBENE MAURITIUS	21000	0.0022
64	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	FPI	IN30016710159933	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	60790	0.0065
65	CITY OF NEW YORK GROUP TRUST	FPI	IN30016710030167	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	202722	0.0217
66	DOVETAIL INDIA FUND CLASS 5 SHARES	FPI	IN30330710001339	DBS BANK LTD GROUND FLOOR EXPRESS TOWERS BLOCK III BACKBAY RECLAMATION NARIMAN POINT MUMBAI	80000	0.0085
67	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	FPI	IN30005410097678	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	71886	0.0077
68	EMPLOYEES PROVIDENT FUND BOARD MANAGED BY NOMURA ASSET MANAGEMENT MALAYSIA SDN BHD	FPI	IN30016710031878	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	2048546	0.2189
69	FIDELITY MT. VERNON STREET TRUST FIDELITY GROWTH COMPANY FUND	FPI	IN30016710127095	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	1770164	0.1892
70	INTERNATIONAL MONETARY FUND - MANAGER A	FPI	IN30005410112315	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	96604	0.0103
71	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED	FPI	IN30005410004986	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	24868	0.0027
72	PREMIER INVESTMENT FUND LIMITED	FPI	IN30317320000220	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV, CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	649457	0.0694
73	VANGUARD ESG INTERNATIONAL STOCK ETF	FPI	IN30343810018375	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	54929	0.0059
74	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	FPI	IN30343810020249	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	1995641	0.2133

75	ANTONY T F LUNDY	FPI	IN30371911048141	Tower 3, Wing B, Kohinoor City Mall, Kohinoor City, Kiroi Road Kurla(W) MAHARASHTRA	79100	0.0085
76	BARON EMERGING MARKETS COLLECTIVE INVESTMENT FUND	FPI	IN30016710137025	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	531129	0.0568
77	BARON EMERGING MARKETS FUND	FPI	IN30016710090308	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	28707607	3.0677
78	BLACKROCK AQUILA EMERGING MARKETS FUND	FPI	IN30016710113756	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	51076	0.0055
79	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	FPI	IN30016710032725	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	1037458	0.1109
80	JYSKE INVEST INDIAN EQUITIES CL	FPI	IN30016710065693	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	13351	0.0014
81	KORA MASTER FUND LP	FPI	IN30005410093988	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2474013	0.2644
82	MOERUS GLOBAL VALUE FUND MASTER (CAYMAN) LTD	FPI	IN30016710161653	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	483140	0.0516
83	MOSS BAY I LP .	FPI	IN30371911080616	1209 N ORANGE STREET WILMINGTON DELAWARE 19801 NEW CASTLE USA	118567	0.0127
84	NOMURA SINGAPORE LIMITED ODI	FPI	IN30014210771432	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	7126004	0.7615
85	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	FPI	IN30005410038629	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	13916	0.0015
86	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	FPI	IN30343810012152	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	188558	0.0201
87	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	FPI	IN30016710080770	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	657383	0.0702
88	AVIATOR EMERGING MARKET FUND	FPI	IN30134820117782	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI MAHARASHTRA	50000	0.0053
89	BNP PARIBAS ARBITRAGE - ODI	FPI	IN30179910088827	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	5404	0.0006
90	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	FPI	IN30005410013410	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	3161290	0.3378
91	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	FPI	IN30343810016679	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	129204	0.0138
92	FORBES EMF	FPI	IN30179910089137	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	50000	0.0053
93	GIMBERGET INVEST AB	FPI	IN30330710002392	DBS BANK LTD EXPRESS TOWERS BLOCK III, BACK BAY RECLAMATION NARIMAN POINT	38655	0.0041
94	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	FPI	IN30005410080999	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	26662	0.0028
95	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	FPI	IN30005410009298	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	25125	0.0027
96	MULTIPARTNER SICAV - BARON EMERGING MARKETS EQUITY	FPI	IN30005410110321	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	12714	0.0014
97	NORTHERN LIGHTS FUND TRUST IV - MOERUS WORLDWIDE VALUE FUND	FPI	IN30014210759217	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	931078	0.0995
98	PABRAI INVESTMENT FUND 3, LTD	FPI	IN30317320009886	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	11391124	1.2173
99	PUB EQUITIES EMERGING MARKETS 2	FPI	IN30343810015477	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	170199	0.0182
100	UBS PRINCIPAL CAPITAL ASIA LTD	FPI	IN30152430039021	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	7500000	0.8015
101	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	FPI	IN30014210772917	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	5693	0.0006

102	VANGUARD TOTAL WORLD STOCK INDEX FUND	FPI	IN30016710047358	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	205827	0.0220
103	WASHINGTON STATE INVESTMENT BOARD MANAGED BY STATE STREET GLOBAL ADVISORS GUARANTEED EDUCATION TUITION-GET	FPI	IN30016710105044	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	8500	0.0009
104	WELLINGTON INDIA OPPORTUNITIES FUND, LTD	FPI	IN30016710143757	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	619271	0.0662
105	STICHTING PENSIOENFONDS RAIL & OPENBAAR VERVOER	FPI	IN30014210565215	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	44835	0.0048
106	SUNSUPER SUPERANNUATION FUND	FPI	IN30016710096503	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	78973	0.0084
107	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410025384	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	12971	0.0014
108	TIAA-CREF FUNDS - TIAA-CREF EMERGING MARKETS EQUITY FUND	FPI	IN30016710085298	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	22956912	2.4532
109	VANDERBILT UNIVERSITY - FLOWERING TREE INVESTMENT MANAGEMENT PTE. LTD.	FPI	IN30014210764361	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	1947869	0.2082
110	GLOBAL STRONG GROWTH FUND	FPI	IN30005410043212	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	61437	0.0066
111	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	FPI	IN30005410105085	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	564062	0.0603
112	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	FPI	IN30016710113535	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	5599583	0.5984
113	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	FPI	IN30016710124393	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	52478	0.0056
114	NOSHAN GAUTAM	FPI	IN30362210021864	UNIT 203-576 SIMCOE ST VICTORIA BRITISH COLUMBIA CANADA V8V 1L8	1307	0.0001
115	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	FPI	IN30014210743212	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	22859	0.0024
116	QUEENSLAND INVESTMENT TRUST NO 2	FPI	IN30005410084844	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	87161	0.0093
117	SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND	FPI	IN30343810006941	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	126370	0.0135
118	SPDR S AND P EMERGING ASIA PACIFIC ETF	FPI	IN30016710031513	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	45005	0.0048
119	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	FPI	IN30016710055675	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	247414	0.0264
120	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	FPI	IN30016710150969	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	900	0.0001
121	QIC INTERNATIONAL EQUITIES FUND	FPI	IN30005410084852	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	36013	0.0038
122	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	FPI	IN30016710132344	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	6383	0.0007
123	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	FPI	IN30016710047132	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	88106	0.0094
124	STICHTING SHELL PENSIOENFONDS	FPI	IN30343810006932	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	238938	0.0255
125	THE PABRAI INVESTMENT FUND IV, LP	FPI	IN30317320009860	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERV,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI	26140000	2.7933
126	THE WELLINGTON TRUST COMPANY NATIONAL ASSOCIATION MULTIPLE COMMON TRUST FUNDS TRUST EMERGING MARKETS LOCAL EQUITY PORTFOLIO	FPI	IN30016710038774	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	4415133	0.4718
127	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	FPI	IN30343810016654	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	6063160	0.6479

128	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	FPI	IN30016710059172	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	1239987	0.1325
129	SA EMERGING MARKETS VALUE FUND	FPI	IN30016710041027	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	37825	0.0040
130	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	FPI	IN30016710045319	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	327833	0.0350
131	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	FPI	IN30016710146165	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	342896	0.0366
132	UPS GROUP TRUST	FPI	IN30016710078107	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	144317	0.0154
133	UTAH STATE RETIREMENT SYSTEMS	FPI	IN30005410023614	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	18889	0.0020
134	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	FPI	IN30343810018480	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	230339	0.0246
135	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	FPI	IN30343810003257	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	4875608	0.5210
136	WELLINGTON MANAGEMENT FUNDS (IRELAND) PUBLIC LIMITED COMPANY - WELLINGTON EMERGING MARKETS LOCAL EQUITY FUND	FPI	IN30005410107427	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	2176106	0.2325
137	WMC LTD	FPI	IN30016710110080	SUITE 325, BARKLY WHARF, LE CAUDAN WATERFRONT, PORT LOUIS. MAURITIUS	15000	0.0016
138	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074905	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	141455	0.0151
139	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074841	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	59918	0.0064

For Edelweiss Financial Services Limited



Tarun Khurana

Company Secretary

Membership No. - A12344

Edelweiss House, Off CST Road, Kalina, Mumbai - 400 098

Edelweiss Financial Services Limited

Corporate Identity Number : L99999MH1995PLC094641

Registered Office : Edelweiss House, Off CST Road, Kalina, Mumbai 400098 ☎ +91 22 4009 4400 📠 +91 22 4502 9298 www.edelweissfin.com

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

C. COMMITTEE MEETINGS

Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Board Meetings	
				Number of Meetings attended	% of attendance
11.	Risk Committee	03/07/2020	5	5	100
12.	Risk Committee	26/08/2020	5	5	100
13.	Risk Committee	29/10/2020	5	5	100
14.	Risk Committee	12/02/2021	5	4	80
15.	Stakeholder Relationship Committee	12/02/2021	3	2	67
16.	Debenture Fund Raising Committee	31/10/2020	4	4	100
17.	Debenture Fund Raising Committee	10/11/2020	4	4	100
18.	Debenture Fund Raising Committee	08/12/2020	4	4	100
19.	Debenture Fund Raising Committee	17/12/2020	4	4	100
20.	Debenture Fund Raising Committee	01/01/2021	4	4	100
21.	Debenture Fund Raising Committee	08/01/2021	4	4	100
22.	Debenture Fund Raising Committee	15/02/2021	4	4	100

23.	Debenture Fund Raising Committee	05/03/2021	4	3	75
24.	Debenture Fund Raising Committee	08/03/2021	4	4	100
25.	Debenture Fund Raising Committee	26/03/2021	4	4	100

For Edelweiss Financial Services Limited



Tarun Khurana
Company Secretary
Membership No: A12344

FORM NO. MGT- 8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers, provided either as hard copies or scanned copies over email or through permitted access to the virtual data room of **Edelweiss Financial Services Limited [CIN: L99999MH1995PLC094641]**, (the Company) required to be maintained under the Companies Act, 2013 (the "Act") and the rules made thereunder for the financial year ended on **31st March 2021** (the "Financial Year"). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

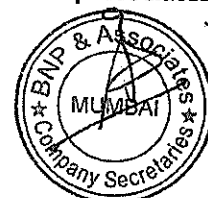
A. The Annual Return states the facts as at the close of the Financial Year correctly and adequately.

B. During the Financial Year, the Company has complied with provisions of the Act and rules made there under in respect of:

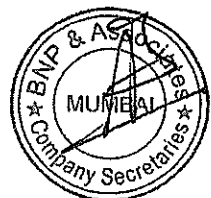
1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed therefor;
3. filing of the forms and returns with the Registrar of Companies, Regional Director, Tribunal and all other concerned authorities, as applicable, within / beyond the prescribed time;
4. calling, convening, holding meetings of Board of Directors and its Committees and the meetings of the members of the Company on the dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minutes Book / registers maintained for the purpose, including circular resolution/s and the resolutions of members passed by Postal Ballot. (Please see Paragraph 19 given below) and the same have been signed, as applicable.
5. there was closure of Register of Members;
6. advances/loans were given to its directors and/or persons or firms referred in section 185 of the Act; - NOT APPLICABLE
7. contracts / arrangements with related parties as specified in section 188 of the Act; as applicable.

Page 1 of 4

A/102, 1st Floor, Ramkrupa CHS, Above IndusInd Bank, SVP Road, Borivali(West), Mumbai-400 092
T: +91 22 2890 0426/ 2890 0176 Email: support@bnpassociates.in Website: www.bnpassociates.in

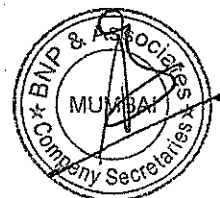


8. issue and allotment, transfer or transmission of Shares and issue of Shares and Debentures certificates in all instances, as applicable. However, there were no instances of buy-back of securities / redemption of preference shares or debentures / alteration or reduction of share capital / conversion of shares / securities. (Please see Paragraph 19 given below)
9. keeping in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; - No such instances during the year under review.
10. declaration and payment of dividend, transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. signing of audited financial statements as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3) and (5) thereof;
12. constitution /appointment / re-appointment / retirement / filling up casual vacancies / disclosures of interest by the Directors, Key Managerial Personnel wherever applicable and the remuneration paid to them;
13. appointment of auditors as per the provisions of section 139 of the Act, there was no re-appointment and casual vacancy of auditors;
14. no approvals were required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act,
15. Acceptance / renewal / repayment of deposit – Not applicable.
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable.
17. loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.
18. alteration of the provisions of the Memorandum and/or Articles of Association of the Company- Not applicable.



19. We further certify that during the Financial Year, the following specific events / actions had taken place:

- i. During the audit period, the Company has allotted 1389075 Equity Shares of the face value of Rs. 1 each share, to eligible employees, in pursuance of the employee stock option schemes of the Company.
- ii. As a source of mobilisation of funds for meeting the operations of the Company and its subsidiaries, the Company had sought the approval of the Shareholders by way of Special Resolution, at the 25th AGM, for offer, issuance and allotment of Equity Shares and various other categories of Securities, in one or more tranches, from time to time, for an aggregate amount up to Rs.1,500 crores, to the eligible investors.
- iii. The Company, vide it's Notice of Postal Ballot dated May 21, 2020, had obtained the approval of the Shareholders by way of Special Resolution, for the following purposes:
 - a. To increase the limit to make loans to any person or other bodies corporate; give any guarantee or provide security in connection with a loan to any other body corporate or person; and acquire by way of subscription, purchase or otherwise securities of any other body corporate, from Rs. 10,000 crores to Rs. 20,000 crores, under Section 186 of the Act.
 - b. To approve divestment/ pledge/ dilution / disposal of the Company's investment(s) / asset(s) / undertaking(s).
- iv. During the year, the Company has:
 - (a) by way of Public Issue, offered, issued and allotted 20,00,000 Secured Redeemable Non-Convertible Debentures, of face value of Rs.1,000 each ("NCDs"), at par, for an aggregate amount of Rs.200 Crores and these NCDs have been listed at BSE Limited, w.e.f. January 11, 2021.
 - (b) the Board of Directors of the Company has approved another Public issue involving issuance of 20,00,000 Secured Redeemable Non-Convertible debentures of face value of Rs.1,000 each ("NCDs"), of different series, at par, with an option to retain over-subscription upto Rs. 200 crores aggregating to an amount of Rs. 400 Crores and in pursuance of the same, filed a Prospectus dated March 26, 2021. The issue was opened



for subscription on April 1, 2021 and closed on April 23, 2021. We are informed by the Company that the NCDs allotted pursuant to this issue were listed at BSE Limited, w.e.f. May 3, 2021.

(c) The Company has from time to time issued various series of listed/unlisted Non-convertible Debentures on private placement basis for an aggregate amount of Rs. 1,535.22 crores.

- v. During the Year, the Securities and Exchange Board of India (SEBI), vide its Order dated July 16, 2020, imposed a penalty of Rs.5 lakhs against the then Compliance Officer under SEBI (Prevention of Insider Trading) Regulations, 2015, and on an appeal made to SEBI Appellate Tribunal (SAT), vide its Order dated March 24, 2021, SAT reduced the said penalty of Rs. 5 lakhs to Rs.1 lakh, which was paid on April 22, 2021.

Place Mumbai
Dated: December 30, 2021



For BNP & Associates
Company Secretaries
[Firm Regn. No. P2014MH037400]
[PR-637/2019]

Avinash Bagul
Partner
FCS No.: 5578/CP No.: 19862
(UDIN: F005578C001332301)