

EW/Sec/2020/86

May 1, 2020

BSE Limited

P J Towers, Dalal Street,
Fort, Mumbai - 400 001.

Dear Sir,

Ref.:- Scrip Code:- 532922

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020

Enclosed is the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020 from a Practicing Company Secretary, in respect of the Equity Shares issued by the Company.

Kindly take the same on record.

Thanking you,

For Edelweiss Financial Services Limited

Sd/-

B. Renganathan
Executive Vice President & Company Secretary

Encl.: a/a

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENT)
REGULATIONS, 2015 (LODR)**


For the half year ended 31st March, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited** ("the Company") maintained by **Link Intime India Private Limited**, pertaining to transfer of equity shares of the company for the period from **1st October 2019 to 31st March, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of LODR for and on behalf of the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares are listed and based on the information provided by the Registrar to the Company. We hereby certify that during the half Year ended on 31st March, 2020:-

- A) No request for transfer were received during the period from **01st October 2019 to 31st March, 2020**.
- B) No request for issue of duplicate certificates were received during the period from **01st October 2019 to 31st March, 2020**.
- C) No request were received for sub-division, consolidation, renewal or exchange of the shares during the period from **01st October 2019 to 31st March, 2020**.

For **BNP & Associates**
Company Secretaries
[Firm Regn. No. P2014MH037400]

Date: April 30, 2020
Place: Mumbai


B. Narasimhan
Partner
FCS: 1303 / CP No. 10440
(UDIN: **F001303B000192065**)
PR 637/2019