

EW/Sec/2019/290

October 16, 2019

BSE Limited

P J Towers, Dalal Street,
Fort, Mumbai - 400 001.

Dear Sir,

Ref.:- Scrip Code:- 532922

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019

Enclosed is the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019 from a Practicing Company Secretary, in respect of the Equity Shares issued by the Company.

Kindly take the same on record.

Thanking you,

For Edelweiss Financial Services Limited



B. Renganathan
Executive Vice President & Company Secretary

Encl.: a/a

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENT)
REGULATIONS, 2015 (LODR)**

For the Half Year Ended 30th September, 2019

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited ("the Company")** maintained by **Link Intime India Private Limited**, pertaining to transfer of equity shares of the company for the period from **01st April 2019 to 30th September, 2019**, for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, (LODR), for and on behalf of the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares are listed and based on the information provided by the Registrar to the Company, we hereby certify that during the half Year ended on 30th September, 2019:-

- A) Share Certificate/s relating to the Share Transfer Deeds received during the period from **01st April 2019 to 30th September, 2019** as entered in Memorandum of Transfers, have been issued within **30** days, from respective date of lodgment of each deed, excepting, those rejected on technical grounds.-
NOT APPLICABLE
- B) Share Certificates in respect of requests for issue of duplicate certificates have been issued within one month of lodgment after completion of all formalities. -
NIL
- C) All certificates received for sub-division, consolidation, renewal or exchange as the case may be have been issued within thirty days of lodgments.

Date: 15 October , 2019
Place: Mumbai



For **BNP & Associates**
Company Secretaries
[Firm Regn. No: P2014MH037400]

Avinash Bagul
Partner

FCS No: 5578 / CP No: 19862
(UDIN: F005578A000084250)