

EW/Sec/2019/107

April 22, 2019

**BSE Limited**  
P J Towers, Dalal Street,  
Fort, Mumbai - 400 001.

Dear Sir,

**Ref.:- Scrip Code:- 532922**


**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2019**

Enclosed are the Certificates under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2019 from a Practicing Company Secretary, in respect of the Shares and Non-convertible Debentures (redeemed in full on October 4, 2018) issued by the Company.

Kindly take the same on record.

Thanking you,

**For Edelweiss Financial Services Limited**

  
**B. Renganathan**  
Executive Vice President & Company Secretary

Encl.: a/a

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENT)  
REGULATIONS, 2015 (LODR)**

**For the half year ended 31<sup>st</sup> March 2019**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited ("the Company")** maintained by **Link Intime India Private Limited**, pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019** for the purpose of issuing a Certificate as per Regulation 40 (9) of LODR by the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares of the Company are listed and based on the information provided by the Registrar to the Company, we hereby certify that during the half Year ended 31<sup>st</sup> March 2019:-

- A) Share Certificate relating to the Share Transfer Deeds received during the period from **01<sup>st</sup> October 2018 to 31<sup>st</sup> March 2019** as entered in Memorandum of Transfers, have been issued within **30** days, from respective date of lodgment of each deed, excepting, those rejected on technical grounds.-**NIL**
- B) Share Certificates in respect of requests for issue of duplicate certificates have been issued within one month of lodgment after completion of all formalities -**NIL**.
- C) All certificates received for sub-division, consolidation, renewal or exchange as the case may be have been processed within thirty days of lodgment of complete documents.

Date: 18<sup>th</sup> April 2019  
Place: Mumbai



*K. Venkataraman*

Venkataraman K.  
Associate Partner  
ACS:8879 / CP No.12459  
For **BNP & Associates**  
Company Secretaries  
[Firm Regn. No. P2014MH037400]

To,  
The Board of Directors,  
**Edelweiss Financial Services Limited,**  
Edelweiss House,  
Off C.S.T Road,  
Kalina, Mumbai 400098

**Sub:-Compliance Certificate for the half year ended 31<sup>st</sup> March 2019 under Regulation 61 (4) read with Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015**

We have examined the Registers, Records, Books and papers of Edelweiss Financial Services Limited (the Company) having its registered office at Edelweiss House, Off C.S.T. Road, Kalina, Mumbai- 400 098 as required to be maintained under the provisions of the Companies Act, 2013, rules made thereunder and other applicable provisions in this regard for the half year ended 31<sup>st</sup> March 2019.

In our opinion and to the best of the information provided to us and explanations furnished by the Company, its officers and agents, we report that no debentures were issued by the Company during the half year ended 31<sup>st</sup> March 2019, hence the Company was not required to issue debenture certificates within thirty days of lodgment of transfer.

Also there was no request received by the Company for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

Date: 18<sup>th</sup> April 2019  
Place: Mumbai



*K. Venkataraman*

Venkataraman K.

Associate Partner

ACS:8879 / CP No.12459

For **BNP & Associates**

Company Secretaries

[Firm Regn. No. P2014MH037400]