

EW/Sec/2020/258

October 22, 2020

**BSE Limited**

P J Towers, Dalal Street,  
Fort, Mumbai - 400 001.

Dear Sir,

**Ref.:- Scrip Code:- 532922**

**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020**

Enclosed is the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020 from a Practicing Company Secretary, in respect of the Equity Shares issued by the Company.

Kindly take the same on record.

Thanking you,

**For Edelweiss Financial Services Limited**

**B. Renganathan**  
**Executive Vice President & Company Secretary**

Encl.: a/a

**CERTIFICATE UNDER REGULATION 40 (9) OF  
THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENT) REGULATIONS, 2015 (LODR)**

**For the half year ended 30<sup>th</sup> September, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited (“the Company”)** maintained by **Link Intime India Private Limited ( RTA )**, pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of LODR for and on behalf of the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares are listed and based on the information provided by the Registrar to the Company. We hereby certify that during the half Year ended on 31<sup>st</sup> September, 2020:-

- A) No request for transfer were received during the period **01<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020**.
- B) No request for issue of duplicate certificates were received during the period **01<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020**.
- C) No request were received for sub-division, consolidation, renewal or exchange of the shares during the period **01<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020**.

Note: Due to Pandemic situation prevailing in the country, relaxation has been provided by SEBI. Accordingly as confirmed by the Company, all requests received for transfer/ transmission of shares (as applicable), deletion of name, issue of duplicate share certificates, etc. are processed by its RTA within the time lines so specified.

**For BNP & Associates  
Company Secretaries  
[Firm Regn. No. P2014MH037400]  
(PR 637/2019)**

**Date: October 21<sup>st</sup>, 2020  
Place: Mumbai**

AVINASH  
ATMARAM  
BAGUL

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ATMARAM BAGUL  
Date: 2020.10.21  
16:44:51 +05'30'

**Avinash Bagul  
Partner  
FCS No.: 5578  
CP No.: 19862  
(UDIN: F005578B001021076)**