

EW/Sec/2018/126

April 25, 2018

BSE Limited

P J Towers, Dalal Street,
Fort, Mumbai - 400 001.

Dear Sir,

Ref.:- Scrip Code:- 532922

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2018

Enclosed are the Certificates under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2018 from a Practicing Company Secretary, in respect of the Shares and Debentures issued by the Company.

Kindly take the same on record.

Thanking you,

For Edelweiss Financial Services Limited


B. Renganathan
Executive Vice President & Company Secretary

Encl.: a/a

To,
The Board of Directors,
Edelweiss Financial Services Limited,
Edelweiss House,
Off C.S.T Road,
Kalina, Mumbai 400098

Sub:-Compliance Certificate for the half year ended March 31st 2018 under Regulation 61 (4) read with Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015

We have examined the Registers, Records, Books and papers of Edelweiss Financial Services Limited (the Company) having its registered office at Edelweiss House, Off C.S.T. Road, Kalina, Mumbai- 400098 as required to be maintained under the provisions of the Companies Act, 2013, rules made thereunder and other applicable provisions in this regard for the half year ended 31st March, 2018.

In our opinion and to the best of the information provided to us and explanations furnished by the Company, its officers and agents, we report that no debentures were issued in the physical mode by the Company during the half year ended 31st March 2018, hence the Company was not required to issue debenture certificates within thirty days of lodgement of transfer.

Also there was no request received by the Company for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

For **BNP & Associates**
Company Secretaries
[Firm Regn. No. P2014MH037400]

Date: 25/4/2018
Place: Mumbai



Prakash K. Pandya

Prakash K. Pandya
Partner
FCS: 3901 / CP No. 2311

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BNP

& Associates
Company Secretaries

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENT)
REGULATIONS, 2015 (LODR)**

For the half year ended 31st March, 2018

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited** ("the Company") maintained by **Link Intime India Private Limited**, pertaining to transfer of equity shares of the company for the period from **01st October 2017 to 31st March 2018** for the purpose of issuing a Certificate as per Regulation 40 (9) of LODR for and on behalf of the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares are listed and based on the information provided by the Registrar to the Company. I hereby certify that the Company has delivered during half Year ended on **31st March 2018:-**

- A) Share Certificate relating to the Share Transfer Deeds received during the period from **01st October 2017 to 31st March 2018** as entered in Memorandum of Transfers, have been issued within **30 days**, from respective date of lodgment of each deed, excepting, those rejected on technical grounds.
- B) Share Certificates in respect of requests for issue of duplicate certificates have been issued within one month of lodgment after completion of all formalities **-NIL**.
- C) All certificates received for sub-division, consolidation, renewal or exchange as the case may be have been issued within thirty days of lodgments **-NIL**.

For **BNP & Associates**
Company Secretaries
[Firm Regn. No. P2014MH037400]

Date: **25/4/2018**
Place: Mumbai



Prakash
Prakash K. Pandya
Partner
FCS: 3901 / CP No. 2311

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