

Ref No.: EW/Sec/2017/374

April 26, 2017

**BSE Limited**  
P J Towers, Dalal Street,  
Fort, Mumbai - 400 001.

Dear Sir,

Ref.:- Scrip Code:- 532922

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2017

Enclosed are the Certificates under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2017 from a Practicing Company Secretary, in respect of the Shares and Debentures issued by the Company.

Kindly take the same on record.

Thanking you,

**For Edelweiss Financial Services Limited**

  
**B. Renganathan**  
Executive Vice President & Company Secretary

Encl.: as above.

**BNP**

**& Associates**

Company Secretaries

To,  
The Board of Directors,  
**Edelweiss Financial Services Limited**,  
Edelweiss House,  
Off C.S.T Road,  
Kalina, Mumbai 400098

25<sup>th</sup> April, 2017

**Sub: Compliance Certificate for the half year ended March 31, 2017 under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

We have examined the Registers, Records, Books and papers of **Edelweiss Financial Services Limited** (the Company) having its registered office at Edelweiss House, Off C.S.T Road, Kalina, Mumbai 400098 as required to be maintained under the provisions of the Companies Act, 2013, Rules made there under and other applicable provisions in this regard for the half year ended 31<sup>st</sup> March 2017.

In our opinion to the best of the information provided to us and explanations furnished by the Company, its officers and agents, we report that no debentures issued by the Company/ outstanding during the half year ended 31<sup>st</sup> March 2017 were issued / were in physical mode, hence the Company was not required to issue debenture certificates within thirty days of lodgement of transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/ allotment monies.



For **BNP & Associates**  
**Company Secretaries**

**B. Narasimhan**

Partner

**FCS No 1303**

**COP No.: 10440**

Office: DBS Heritage House, Prescott Road, Fort, Mumbai 400001. INDIA.

Tel: +91 22 40779207 / 9223 # Email :[info@bnp-associates.com](mailto:info@bnp-associates.com)

Website: [www.bnp-associates.com](http://www.bnp-associates.com)


**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENT) REGULATIONS, 2015 (LODR) FOR SUBMISSION TO NSE/BSE**

**For the half year ended 31<sup>st</sup> March 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited** maintained by **Link Intime India Private Limited**, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October 2016 to 31<sup>st</sup> March 2017** for the purpose of issuing a Certificate as per Clause 40 (9) of LODR for and on behalf of **Edelweiss Financial Services Limited** to BSE/NSE where the shares are listed and based on the information provided by the Registrar to the Company. I hereby certify that the Company has delivered during half Year ended on **31<sup>st</sup> March 2017**

- A) Share Certificate relating to the Share Transfer Deeds received during the period from, **1<sup>st</sup> October 2016 to 31<sup>st</sup> March 2017** as entered in Memorandum of Transfers, have been issued within **30** days, from respective date of lodgment of each deed, excepting, those rejected on technical grounds -**NIL**.
- B) Share Certificates in respect of requests for issue of duplicate certificates have been issued within one month of lodgment after completion of all formalities -**NIL**.
- C) All certificates received for sub-division, consolidation, renewal or exchange as the case may be have been issued within thirty days of lodgments -**NIL**.

**Date: 25<sup>th</sup> April 2017**  
**Place: Mumbai**

  
**B. Narasimhan – Partner**  
**BNP & Associates,**  
**Company Secretaries**  
**FCS No 1303 COP No.: 10440**

