

EW/Sec/2021/75

April 19, 2021

BSE Limited

P J Towers, Dalal Street,
Fort, Mumbai - 400 001.

Dear Sir,

Ref.:- Scrip Code:- 532922

Sub: Certificate under Regulation 40 (9) and Regulation 61 (4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021

Enclosed is the Certificate under Regulation 40 (9) and Regulation 61 (4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021 from a Practicing Company Secretary, in respect of the Equity Shares issued by the Company.

Kindly take the same on record.

Thanking you,

For Edelweiss Financial Services Limited

B. Renganathan
Executive Vice President & Company Secretary

Encl.: a/a

**COMBINED CERTIFICATE UNDER REGULATION 40 (9) AND REGULATION 61(4) OF
THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 (LODR)**

For the Half Year ended 31st March, 2021

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Edelweiss Financial Services Limited (“the Company”)** maintained by **Link Intime India Private Limited (RTA)**, pertaining to transfer of equity shares of the company for the period from **01st October 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) of LODR for and on behalf of the Company to BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) where the shares are listed and based on the information provided by the Registrar to the Company. We hereby certify that during the half Year ended on 31st March, 2021:-

- A) No requests for transfer were received during the period from **01st October 2020 to 31st March, 2021**.
- B) No requests for issue of duplicate certificates were received during the period from **01st October 2020 to 31st March, 2021**.
- C) No requests were received for sub-division, consolidation, renewal or exchange of the shares during the period from **01st October 2020 to 31st March, 2021**.

Based on the information / details provided to us by Edelweiss Financial Services Limited (“the Company”), we hereby certify that since all the Secured Redeemable Non-Convertible Debentures issued by the Company are in Demat Form, and since no new Non-Convertible Debenture was issued in the physical mode by the Company during the Half Year ended March 31, 2021, no request was received for issuance of debenture certificates within thirty days of lodgement of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

**For BNP & Associates
Company Secretaries
[Firm Regn. No. P2014MH037400]
(PR 637/2019)**

**Date: April 16, 2021
Place: Mumbai**

AVINASH Digitally signed
by AVINASH
ATMARAM
BAGUL
M BAGUL Date: 2021.04.16
11:27:14 +05'30'

**Avinash Bagul
Partner
FCS No.: 5578
CP No.: 19862
(UDIN: F005578C000103632)**

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