Corporate Governance Report for the quarter ended September 30, 2025

1. **Name of Listed Entity – ECap Equities Limited**
2. **Quarter ended – September 30, 2025**

I. Composition of Board of Directors #												
Title (Mr. / Ms.)	Name of the Director	PAN$ & DIN	Category (Chairperson / Executive / Non-Executive/ Independent /Nominee) &	Initial Date of appointment	Date of Re-appointment	Date of Cessation	Tenure (in months)*	Date of Birth	No. of directorship in listed entities including this listed entity *[in reference to Regulation 17A(1)]*	No. of Independent Directorship in listed entities including this listed entity *[in reference to proviso to regulation 17A(1)]*	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity *(Refer Regulation26(1) of Listing Regulations)*	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity *(Refer Regulation26(1) of Listing Regulations)*
Dr.	Vinod Juneja	PAN: ADYPJ0433B DIN: 00044311	Chairman (Independent)	26-05-2022	29-09-2025	-	40	20-04-1949	3	3	4	1
Mr.	Piyush Chamria	PAN: AEGPC9408Q DIN: 08814424	Executive	08-12-2023	-	-	-	09-08-1982	1	-	1	-
Mr.	Swadesh Agrawal	PAN: AJAPA9314J DIN: 10417688	Executive	08-05-2024	-	-	-	19-06-1984	1	-	1	-

ECap Equities Limited
Corporate Identity Number: U74900MH2008PLC287466
Registered Office: Tower 3, Wing B, Kohinoor City Mall, Kohinoor City, Kirol Road, Kurla West, Mumbai - 400 070
Corporate Office: Edelweiss House, Off. C.S.T. Road, Kalina, Mumbai - 400 098, Tel No.: +91 022 4079 5199
Email: cs@edelweissfin.com, Website: www.ecap.edelweissfin.com

Ms.	Ananya Suneja	PAN: ADQPB5081M DIN: 07297081	Non-Executive	22-05-2023	-	-	-	13-06-1973	2	-	-	-
Mr.	Bharat Bakshi	PAN: AADPB5286G DIN: 07648220	Independent	26-05-2022	29-09-2025	-	40	21-11-1960	2	2	3	1
Mr.	Vyapak Shrivastava	PAN: AZBPS8138B DIN: 10841180	Non-Executive	04-08-2025	-	-	-	10-11-1977	2	-	1	-
Mr.	Sanjeev Rastogi	PAN: ACEPR4763R DIN: 00254303	Non-executive	11-11-2014	-	04-08-2025	-	20-04-1969	-	-	-	-

Whether Regular chairperson appointed – **Yes**

Whether Chairperson is related to Managing Director or CEO – **No**

$PAN of any director would not be displayed on the website of Stock Exchange
&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen
** to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.*

II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/Executive/ Non- Executive /independent/ Nominee)&	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. Bharat Bakshi	Independent (Chairperson)	26-05-2022	-
		Dr. Vinod Juneja	Independent	26-05-2022	-
		Mr. Vyapak Shrivastava	Non-executive	04-08-2025	-
		Ms. Ananya Suneja	Non-executive	08-05-2025	04-08-2025
2. Nomination & Remuneration Committee	Yes	Dr. Vinod Juneja	Independent (Chairperson)	26-05-2022	-
		Mr. Bharat Bakshi	Independent	26-05-2022	-
		Ms. Ananya Suneja	Non-executive	08-05-2025	-
3. Risk Management Committee	No	Mr. Bharat Bakshi	Independent	26-05-2022	-
		Mr. Piyush Chamria	Executive	04-08-2025	-
		Mr. Swadesh Agrawal	Executive & Company Secretary	08-12-2023	-
		Mr. Vyapak Shrivastava	Non-executive	04-08-2025	-
		Mr. Ritesh Jain	Chief Financial Officer	01-08-2022	-
		Mr. Sanjeev Rastogi	Non-executive	25-03-2022	04-08-2025
4. Stakeholders' Relationship Committee	No	Mr. Bharat Bakshi	Independent	26-05-2022	-
		Mr. Piyush Chamria	Executive	08-12-2023	-
		Mr. Swadesh Agrawal	Executive & Company Secretary	04-08-2025	-
		Mr. Sanjeev Rastogi	Non-Executive	08-12-2023	04-08-2025
5. Corporate Social Responsibility Committee	No	Mr. Bharat Bakshi	Independent	21-03-2023	-
		Mr. Piyush Chamria	Executive	08-12-2023	-
		Mr. Swadesh Agrawal	Executive & Company Secretary	04-08-2025	
		Mr. Sanjeev Rastogi	Non-Executive	25-03-2022	04-08-2025

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	*Date(s) of Meeting (if any) in the relevant quarter*	*Whether requirement of Quorum met** Yes / No	Number of Directors present*	Number of Independent Directors present*	*Maximum gap between any two consecutive (in number of days)**
08-05-2025	-	-	-	-	-
-	04-08-2025	Yes	6	2	87

** to be filled in only for the current quarter meetings*

IV. Meetings of Committees

Date(s) of meeting of the committee in the relevant quarter	*Whether requirement of Quorum met (details)** Yes / No	*Number of Directors/Members present*&*	*Number of Independent Directors present*&*	*Date(s) of meeting of the committee in the previous quarter*&*	*Maximum gap between any two consecutive meetings in number of days*&*
Audit Committee					
-	Yes	-	-	08-05-2025	-
04-08-2025	Yes	3	2	-	87
Risk Management Committee					
-	Yes	-	-	08-05-2025	-
-	-	-	-	-	-
Nomination and remuneration committee					
-	Yes	-	-	08-05-2025	-
04-08-2025	Yes	3	2	-	87
Stakeholders Relationship Committee					
-	Yes	-	-	08-05-2025	-
-	-	-	-	-	-

** This information has to be mandatorily be given for audit committee and Risk Management Committee, for rest of the committees giving this information is optional.*
& To be filled in only for the current quarter meetings.

V. Related Party Transactions	
Subject	***Compliance status (Yes/No/NA)*** *refer note below*
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes

Note:
1 *In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the*
2 *Listed Entity has no related party transactions, the words "N.A." may be indicated.*
If status is "No" details of non-compliance may be given here.

VI. Details of Cyber Security Incidence			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015, there has been cyber security incidents or breaches or loss of data or documents during the quarter.			No
Date of Event	Not Applicable	Brief details of event	Not Applicable

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VII. Affirmations #
1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:- a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders' Relationship Committee d. Risk Management Committee 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.
For ECap Equities Limited SWADESH AGRAWAL Digitally signed by SWADESH AGRAWAL Date: 2025.10.17 12:00:33 +05'30' **Swadesh Agrawal** **Executive Director & Company Secretary** **Place: Mumbai** **Date: October 17, 2025**